

Digital Logic Design: a rigorous approach ©

Chapter 12: Trees

part 2

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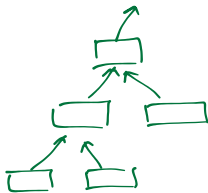
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Book Homepage:

<http://www.eng.tau.ac.il/~guy/Even-Medina>

Preliminary questions:

- 1 Which Boolean functions are suited for implementation by tree-like combinational circuits?
- 2 In what sense are tree-like implementations **optimal**?



cost?
delay?

Definition

A **binary Boolean function** is a function $f : \{0, 1\}^2 \rightarrow \{0, 1\}$.

A binary function is often denoted by a dyadic operator, say $*$. So instead of writing $f(a, b)$, we write $a * b$.

examples : OR, AND, XOR

x - can be any bin. Boolean func.

Definition

A binary Boolean function $*$: $\{0, 1\}^2 \rightarrow \{0, 1\}$ is **associative** if

$$(x_1 * x_2) * x_3 = x_1 * (x_2 * x_3) ,$$

for every $x_1, x_2, x_3 \in \{0, 1\}$.

One may omit parenthesis: $x_1 * x_2 * x_3$ is well defined.

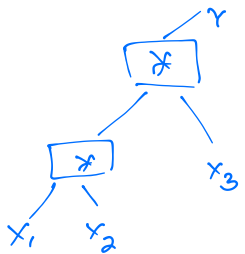
Consider the function $f_n : \{0, 1\}^n \rightarrow \{0, 1\}$ defined by

$$f_n(x_1, \dots, x_n) \triangleq x_1 * \dots * x_n$$

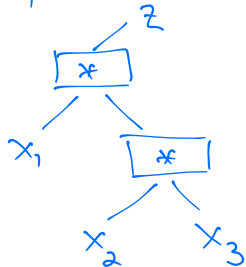
example : $OR_n(x_1, \dots, x_n) = x_1 + \dots + x_n$

associativity by

picture



$$(x_1 * x_2) * x_3$$



$$x_1 * (x_2 * x_3)$$

$*$ ASSOC. \iff

$$Y = Z$$

$$(\forall x_1, x_2, x_3)$$

Extension of associative function

Definition

Let $f : \{0, 1\}^2 \rightarrow \{0, 1\}$ denote a Boolean function. The function $f_n : \{0, 1\}^n \rightarrow \{0, 1\}$, for $n \geq 1$, is defined recursively as follows.

- 1 If $n = 1$, then $f_1(x) = x$.
- 2 If $n = 2$, then $f_2 = f$.
- 3 If $n > 2$, then f_n is defined based on f_{n-1} as follows:

$$f_n(x_1, x_2, \dots, x_n) \triangleq f(f_{n-1}(x_1, \dots, x_{n-1}), x_n).$$

Claim

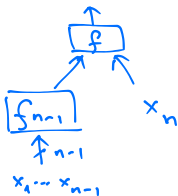
If $f : \{0, 1\}^2 \rightarrow \{0, 1\}$ is an associative Boolean function, then

$$f_n(x_1, x_2, \dots, x_n) = f(f_{n-k}(x_1, \dots, x_{n-k}), f_k(x_{n-k+1}, \dots, x_n)),$$

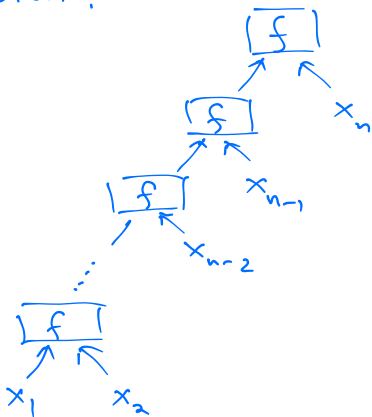
for every $n \geq 2$ and $k \in [1, n - 1]$.

$$f_n(x_1, \dots, x_n)$$

$$= f(f_{n-1}(x_1, \dots, x_{n-1}), x_n)$$



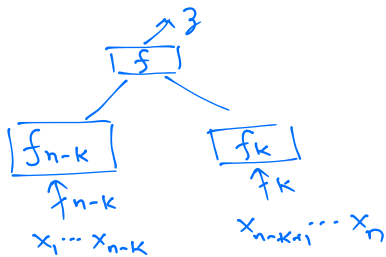
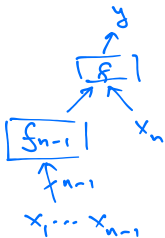
expand recursion:



want to prove that $\forall n \geq 2 \quad \forall k \in [1, n-1]$:

$$f_n(x_1, \dots, x_n) = f(f_{n-k}(x_1, \dots, x_{n-k}), f_k(x_{n-k+1}, \dots, x_n))$$

meaning: $y = z$ where



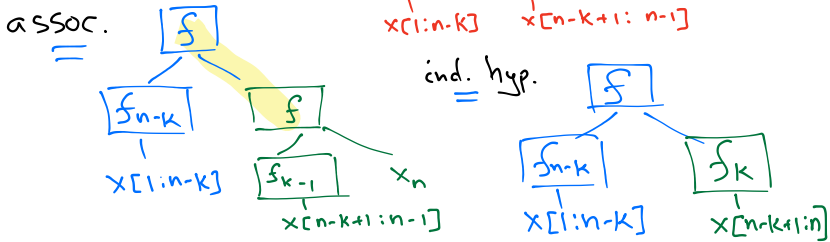
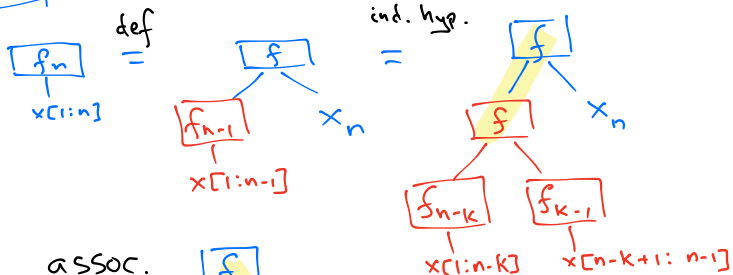
* note: for $k=1$, equality holds
because LHS is the same as RHS

proof by comp. ind. on n .

basis: $n=2$ true because $k=1$.

hyp: LHS = RHS $\forall n' < n \quad k \in [1, n'-1]$

step: (by pictures!)



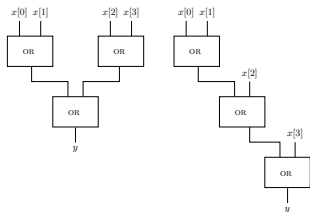
Trees of associative Boolean gates

To simplify the presentation, consider the Boolean function OR_n .

Definition

A combinational circuit $H = (V, E, \pi)$ that satisfies the following conditions is called an **OR-tree**(n).

- 1 The graph $DG(H)$ is a rooted tree with n sources.
- 2 Each vertex v in V that is not a source or a sink is labeled $\pi(v) = \text{OR}$.
- 3 The set of labels of leaves of H is $\{x_0, \dots, x_{n-1}\}$.



Correctness of $\text{OR-tree}(n)$

Definition

A combinational circuit $H = (V, E, \pi)$ that satisfies the following conditions is called an $\text{OR-tree}(n)$.

- 1 *Topology.* The graph $DG(H)$ is a rooted tree with n sources.
- 2 Each vertex v in V that is not a source or a sink is labeled $\pi(v) = \text{OR}$.
- 3 The set of labels of leaves of H is $\{x_0, \dots, x_{n-1}\}$.

Claim

Every $\text{OR-tree}(n)$ implements the Boolean function OR_n .

\forall OR-tree(n) implements OR_n

proof: by comp. ind. on n . (basis, hyp exercis)

decompose OR-tree(n).

where

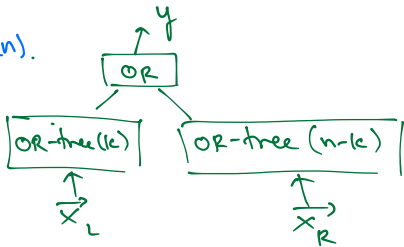
$$\vec{x}_L \cap \vec{x}_R = \emptyset$$

$$\& \vec{x}_L \cup \vec{x}_R = \{x_1, \dots, x_n\}$$

ind. hyp: $\boxed{\text{OR-tree}(k)} \xrightarrow{\vec{x}_L} OR_k(\vec{x}_L)$

$$\boxed{\text{OR-tree}(n-k)} \xrightarrow{\vec{x}_R} OR_{n-k}(\vec{x}_R)$$

$$y = OR(OR_k(\vec{x}_L), OR_{n-k}(\vec{x}_R)) \stackrel{\text{claim}}{=} OR_n(\vec{x}_L \cup \vec{x}_R) \stackrel{\text{comm.}}{=} OR_n(\vec{x}) \quad \square$$



Definition

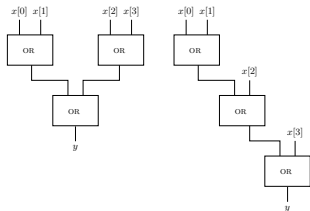
A Boolean formula φ is an **OR(n) formula** if it satisfies three conditions: (i) it is over the variables X_0, \dots, X_{n-1} , (ii) every variable X_i appears exactly once in φ , and (iii) the only connective in φ is the OR connective.

Claim

A Boolean circuit C is an OR(n)-tree if and only if its graph (without the input/output gates) is a parse tree of an OR(n)-formula.

exercise (hint: complete ind.)

Cost of OR-tree(n)



Claim

The cost of every OR-tree(n) is $(n - 1) \cdot c(\text{OR})$.

Lemma

Let $G = (V, E)$ denote a rooted tree in which the in-degree of each vertex is at most two. Then

$$|\{v \in V \mid \text{deg}_{in}(v) = 2\}| = |\{v \in V \mid \text{deg}_{in}(v) = 0\}| - 1.$$

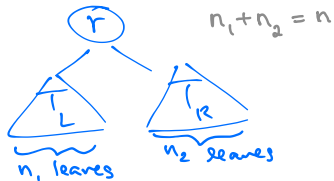
$$|\{v \mid \deg_{\text{in}}(v) = 2\}| = |\{v \mid \deg_{\text{in}}(v) = 0\}| - 1$$

proof: comp. ind. on $|V|$

basis: $|V| = 1$ LHS = 0 RHS = $1 - 1 = 0$

hyp: \forall rooted tree with $\overset{\# \text{leaves} < n}{|V| < n}$ Lemma holds

step: decompose tree



$$|\{v \in \text{tree} \mid \deg_{\text{in}}(v) = 2\}|$$

$$= |\{v \in T_L \mid \deg_{\text{in}}(v) = 2\}|$$

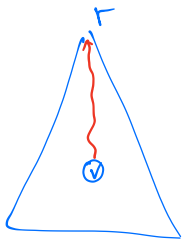
$$+ |\{v \in T_R \mid \deg_{\text{in}}(v) = 2\}| + 1$$

$$\stackrel{\text{ind. hyp.}}{=} (n_1 - 1) + (n_2 - 1) + 1 = n - 1$$

exercise: what if $\deg_{\text{in}}(r) = 1$?



depth of vertex in a rooted tree



$\text{depth}(v) = \text{length of path}$
from v to root.

but we will use a non-standard
definition of depth.

confusing, so be aware...

Depth of tree

delay of an OR tree = number of OR-gates along the longest path from an input to an output.

Definition (depth - nonstandard definition)

The **depth** of a rooted tree T is the maximum number of vertices with in-degree greater than one in a path in T . We denote the depth of T by $depth(T)$.

Why is this nonstandard?

- Usually, depth is simply the length of the longest path.
- Here we count only vertices with in-degree ≥ 2 .
- Why?
 - Input and output gates have zero delay (no computation)
 - Assume inverters are free and have zero delay (we will show that for OR(n) cost & delay are not reduced even if inverters are free and without delay)



Definition

A rooted tree is a **binary tree** if the maximum in-degree is two.

A rooted tree is a **minimum depth tree** if its depth is minimum among all the rooted trees with the same number of leaves.

All binary trees with n leaves have the same cost. But, which have minimum depth?

- 1 if n that is a power of 2, then there is a unique minimum depth tree, namely, the perfect binary tree with $\log_2 n$ levels.
- 2 if n is not a power of 2, then there is more than one minimum depth tree... (balanced trees)

Example: Delay analysis

Are these minimum depth trees?

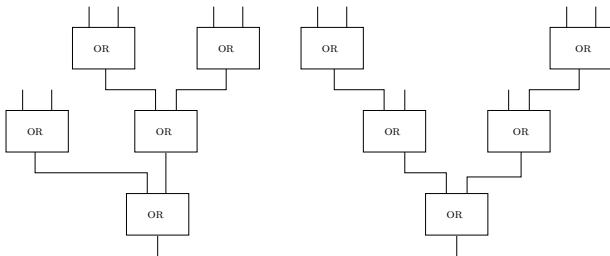


Figure: Two trees with six inputs.

Claim

If T_n is a rooted binary tree with n leaves, then the depth of T_n is at least $\lceil \log_2 n \rceil$.

- 1 Suffice to prove depth $\geq \log_2 n$.
- 2 Complete induction on n .

sketch: (comp. ind.)



*

$$n_1 + n_2 = n$$

$$\Rightarrow \max\{n_1, n_2\} \geq \frac{n}{2}$$

$$\text{depth}(T) = 1 + \max\{\text{depth}(T_L), \text{depth}(T_R)\}$$

$$\geq 1 + \max\{\lg n_1, \lg n_2\}$$

$$\stackrel{*}{\geq} 1 + \log_2\left(\frac{n}{2}\right) = \log_2 n$$



Min Depth: the case $n = 2^k$ (perfect binary trees)

The distance of a vertex v to the root r in a rooted tree is the length of the path from v to r .



Definition

A rooted binary tree is **perfect** if:

- The in-degree of every non-leaf is 2, and
- All leaves have the same distance to the root.



Note that the depth of a perfect tree equals the distance from the leaves to the root (no vertices with in-degree 1).

Claim

The number of leaves in a perfect tree is 2^k , where k is the distance of the leaves to the root.

Claim

Let n denote the number of leaves in a perfect tree. Then, the distance from every leaf to the root is $\log_2 n$.



Minimum depth trees

We now show that for every n , we can construct a minimum depth tree T_n^* of depth $\lceil \log_2 n \rceil$. In fact, if n is not a power of 2, then there are many such trees.

Definition

Two positive integers a, b are a **balanced partition** of n if:

- 1 $a + b = n$, and
- 2 $\max\{\lceil \log_2 a \rceil, \lceil \log_2 b \rceil\} \leq \lceil \log_2 n \rceil - 1$.

Claim

If $n = 2^k - r$, where $0 \leq r < 2^{k-1}$, then the set of balanced partitions is

$$P \triangleq \{(a, b) \mid 2^{k-1} - r \leq a \leq 2^{k-1} \text{ and } b = n - a\}.$$

$$n = 13 = 2^4 - 3$$

$$P = \{(8, 5), (7, 6), (6, 7), (5, 8)\}$$

Construction of a balanced tree



Algorithm 1 Balanced-Tree(n) - a recursive algorithm for constructing a binary tree T_n^* with $n \geq 1$ leaves.

- 1 The case that $n = 1$ is trivial (an isolated root).
 - 2 If $n \geq 2$, then let a, b be balanced partition of n .
 - 3 Compute trees T_a^* and T_b^* . Connect their roots to a new root to obtain T_n^* .
-

Definition

A rooted binary tree T_n is a **balanced tree** if it is a valid output of Algorithm Balanced-Tree(n).

Def: balanced tree

Algorithm 2 $\text{Balanced-Tree}(n)$ - a recursive algorithm for constructing a binary tree T_n^* with $n \geq 1$ leaves.

- 1 The case that $n = 1$ is trivial (an isolated root).
 - 2 If $n \geq 2$, then let a, b be balanced partition of n .
 - 3 Compute trees T_a^* and T_b^* . Connect their roots to a new root to obtain T_n^* .
-

Claim

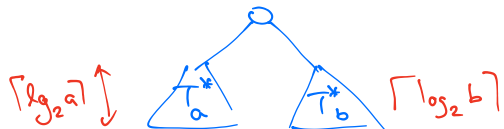
The depth of a binary tree T_n^ constructed by Algorithm $\text{Balanced-Tree}(n)$ is $\lceil \log_2 n \rceil$.*

Corollary

The propagation delay of a balanced OR-tree(n) is $\lceil \log_2 n \rceil \cdot t_{pd}(\text{OR})$.

$$\text{depth}(T_n^*) = \lceil \log_2 n \rceil$$

proof: comp. ind. on n
sketch



$$\text{depth}(T_n^*) = 1 + \max \{ \lceil \log_2 a \rceil, \lceil \log_2 b \rceil \}$$

$$\leq 1 + \lceil \log_2 n \rceil - 1 = \lceil \log_2 n \rceil$$

but T_n^* has n leaves

$$\Rightarrow \text{depth}(T_n^*) \geq \lceil \log_2 n \rceil$$



Summary

- * defined OR_n
- * defined $OR\text{-tree}(n)$
- * cost $OR\text{-tree}(n)$
- * balanced trees
- * delay of balanced trees

} can be extended
to any binary
Boolean assoc.
func.

CIRCUIT LOWER BOUNDS

given $f_n: \{0,1\}^n \rightarrow \{0,1\}$.

find $c: \mathbb{N} \rightarrow \mathbb{N}$ such that

\forall comb. circuit $COMB_n$ that
computes f_n : $\text{cost}(COMB_n) \geq c(n)$.

If a comb. circuit H has $\text{cost}(H) < c(n)$,

then H does not compute f_n !

example: $f_n = OR_n$, $f_{2n}(a, b) =$ ^{nth bit} of $\langle a \rangle + \langle b \rangle$

lower bound does not restrict $COMB_n$!

$\forall \text{ gate} : \text{cost}(\text{gate}) = 1$

Goals: prove optimality of a balanced OR-tree(n).

Theorem

Let C_n denote a combinational circuit that implements OR_n . Then,

$$c(C_n) \geq n - 1.$$

Theorem

Let C_n denote a combinational circuit that implements OR_n . Let k denote the maximum fan-in of a gate in C_n . Then

$$t_{pd}(C_n) \geq \lceil \log_k n \rceil.$$

Definition

Let $flip_i : \{0, 1\}^n \rightarrow \{0, 1\}^n$ be the Boolean function defined by $flip_i(\vec{x}) \triangleq \vec{y}$, where

$$y_j \triangleq \begin{cases} x_j & \text{if } j \neq i \\ \text{NOT}(x_j) & \text{if } i = j. \end{cases}$$

$$flip_1(110) = 101$$

The cone of a function

Definition (Cone of a Boolean function)

The **cone** of a Boolean function $f : \{0, 1\}^n \rightarrow \{0, 1\}$ is defined by

$$\text{cone}(f) \triangleq \{i : \exists \vec{v} \text{ such that } f(\vec{v}) \neq f(\text{flip}_i(\vec{v}))\}$$

Example

$$\text{cone}(\text{XOR}) = \{1, 2\}.$$

We say that f **depends** on x_i if $i \in \text{cone}(f)$.

$$\begin{array}{l} \overset{2 \neq 0}{\text{XOR}}(\overset{0 \neq 1}{0}, 0) \neq \text{XOR}(1, 0) \quad 2 \notin \text{cone}(\text{XOR}) \\ \text{XOR}(0, \overset{0 \neq 1}{0}) \neq \text{XOR}(0, 1) \quad 1 \notin \text{cone}(\text{XOR}) \end{array}$$

Example

Consider the following Boolean function:

$$f(\vec{x}) = \begin{cases} 0 & \text{if } \sum_i x_i < 3 \\ 1 & \text{otherwise.} \end{cases}$$

Suppose that one reveals the input bits one by one. As soon as 3 ones are revealed, one can determine the value of $f(\vec{x})$.

Nevertheless, the function $f(\vec{x})$ depends on all its inputs, and hence, $\text{cone}(f) = \{1, \dots, n\}$.

$$f(1, 1, 1, \dots) = 1$$

$$f(0, 0, \dots, 0, 1, 1, \dots) = 0$$

Claim

$\text{cone}(f) = \emptyset \iff f$ is a constant Boolean function.

$\text{cone}(f) = \emptyset \iff f \text{ constant}$

(\Leftarrow) if f constant, then

$$\forall v \forall i : f(v) = f(\text{flip}_i(v))$$

$$\Rightarrow \forall i : i \notin \text{cone}(f)$$

$$\Rightarrow \text{cone}(f) = \emptyset$$

$\text{cone}(f) = \emptyset \iff f \text{ constant}$

(\implies) need to prove that (counter-positive)

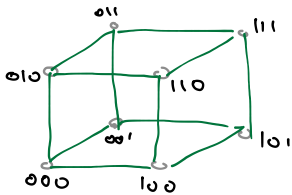
$\text{cone}(f) \neq \emptyset \iff f \text{ not const.}$

Suppose: $f: \{0,1\}^n \rightarrow \{0,1\}$

consider Hypercube $(\{0,1\}^n, E)$ where

$(u,v) \in E \iff \exists i: u = \text{flip}_i(v)$

Hypercube over
 $\{0,1\}^3$



$f \neq \text{const} \implies \exists u \exists v:$

$f(u) = 0 \quad \& \quad f(v) = 1$

$$f(u) = 0, f(v) = 1.$$

consider path from u to v in Hypercube.

[path exists: flip bits in which u & v disagree one at a time.

$$000 \rightarrow 100 \rightarrow 110 \rightarrow 111. \quad]$$

$$\text{path: } u = x_0 - x_1 - \dots - x_\ell = v$$

$$f(x_0) = 0, f(x_\ell) = 1$$

$$\Rightarrow \exists i : f(x_i) = 0 \text{ \& } f(x_{i+1}) = 1$$

but $(x_i, x_{i+1}) \in E$, so $\exists j : x_{i+1} = \text{flip}_{ij}(x_i)$

$$\Rightarrow j \in \text{cone}(f).$$

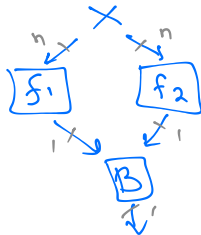


Composition of Functions

Claim

If $g(\vec{x}) \triangleq B(f_1(\vec{x}), f_2(\vec{x}))$, then

$$\text{cone}(g) \subseteq \text{cone}(f_1) \cup \text{cone}(f_2).$$



$$g(x) \triangleq B(f_1(x), f_2(x))$$

$$\text{cone}(g) \subseteq \text{cone}(f_1) \cup \text{cone}(f_2)$$

proof by counter-positive, suffice:

$$i \notin \text{cone}(f_1) \cup \text{cone}(f_2) \Rightarrow i \notin \text{cone}(g).$$

$$g(x) = B(f_1(x), f_2(x))$$

$$= B(f_1(\text{flip}_i(x)), f_2(\text{flip}_i(x)))$$

$$= g(\text{flip}_i(x))$$

□

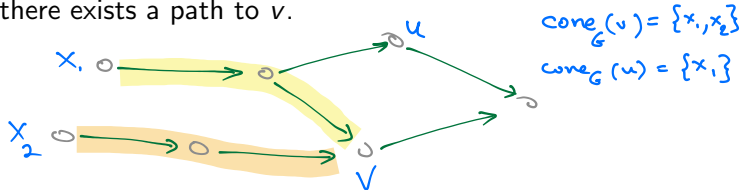
Graphical Cone

Definition

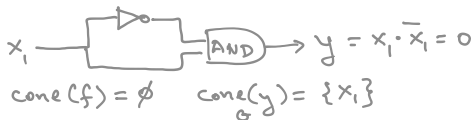
Let $G = (V, E)$ denote a DAG. The **graphical cone** of a vertex $v \in V$ is defined by

$$\text{cone}_G(v) \triangleq \{u \in V : \text{deg}_{in}(u) = 0 \text{ and } \exists \text{ path from } u \text{ to } v\}.$$

In a combinational circuit, every source is an input gate. This means that the graphical cone of v equals the set of input gates from which there exists a path to v .



Functional Cone \subseteq Graphical Cone

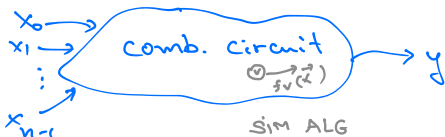
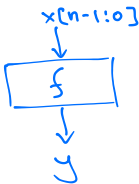


Claim

Let $H = (V, E, \pi)$ denote a combinational circuit. Let $G = DG(H)$. For every vertex $v \in V$, the following holds:

$$\text{cone}(f_v) \subseteq \text{cone}_G(v).$$

Namely, if f_v depends on x_i , then the input gate u that feeds the input x_i must be in the graphical cone of v .



$$\text{cone}(f_v) \subseteq \text{cone}_G(v)$$

SIM ALG



proof topo. sort of V

(v_1, v_2, \dots, v_n)

prove (by comp. ind on i):

$$\forall i: \text{cone}(f_{v_i}) \subseteq \text{cone}_G(v_i)$$

basis: $i=1$ (or sources)

$$\text{cone}(f_{v_1}) = \{v_1\} \quad \text{cone}_G(v_1) = \{v_1\}$$

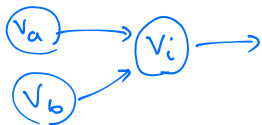
hyp: claim holds for $j < i$.

Step:

3 cases: $\text{deg}_{\text{in}}(v_i) \in \{0, 1, 2\}$

exercise:

$$\text{deg}_{\text{in}}(v) = 1$$



$$f_{v_i}(x) = B_{\pi(v_i)}(f_{v_a}(x), f_{v_b}(x))$$

$$\begin{aligned} \Rightarrow \text{cone}(f_{v_i}) &\subseteq \text{cone}(f_{v_a}) \cup \text{cone}(f_{v_b}) \\ &\subseteq \text{cone}_G(v_a) \cup \text{cone}_G(v_b) \\ &= \text{cone}_G(v_i) \end{aligned}$$

"Hidden" Rooted Trees

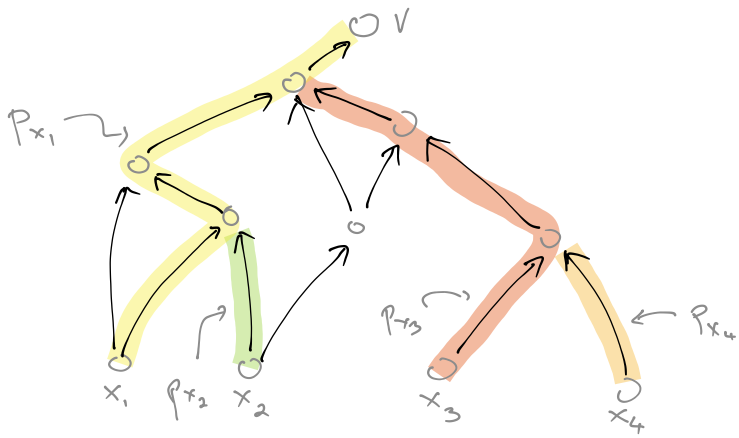
Claim

Let $G = (V, E)$ denote a DAG. For every $v \in V$, there exist $U \subseteq V$ and $F \subseteq E$ such that:

- 1 $T = (U, F)$ is a rooted tree;
- 2 v is the root of T ;
- 3 $\text{cone}_G(v)$ equals the set of leaves of (U, F) .

The sets U and F are constructed as follows.

- 1 Initialize $F = \emptyset$ and $U = \emptyset$.
- 2 For every source u in $\text{cone}_G(v)$ do
 - (a) Find a path p_u from u to v .
 - (b) Let q_u denote the prefix of p_u , the vertices and edges of which are not contained in U or F .
 - (c) Add the edges of q_u to F , and add the vertices of q_u to U .



Lower Bound on Cost

Theorem (Linear Cost Lower Bound Theorem)

Let $H = (V, E, \pi)$ denote a combinational circuit. If the fan-in of every gate in H is at most 2, then

$$c(H) \geq \max_{v \in V} |\text{cone}(f_v)| - 1.$$

Corollary

Let C_n denote a combinational circuit that implements OR_n . Then

$$c(C_n) \geq n - 1.$$

$$|\text{cone}(\text{OR}_n)| = n$$

$$\text{OR}_n(\vec{0}) = 0$$

$$\text{OR}_n(\text{flip}_i(\vec{0})) = 1$$

$$\text{cost}(H) \geq \max_v |\text{cone}(f_v)| - 1$$

proof: consider $DG(H)$. fix vertex v .
construct tree T_v rooted at v with

$$\text{leaves}(T_v) = \text{cone}_{DG(H)}(v)$$

$$|\{u \in T_v : \text{deg}_{in}^{T_v}(u) = 2\}| = |\text{Leaves}(T_v)| - 1$$

$$= |\text{cone}_{DG(H)}(v)| - 1$$

$$\geq |\text{cone}(f_v)| - 1$$

but: $\text{cost}(H) \geq |\{u \in T_v : \text{deg}_{in}^{T_v}(u) = 2\}|$.

note: did not count inv's.



Theorem (Logarithmic Delay Lower Bound Theorem)

Let $H = (V, E, \pi)$ denote a combinational circuit. If the fan-in of every gate in H is at most 2, then

$$t_{pd}(H) \geq \max_{v \in V} \log_2 |\text{cone}(f_v)|.$$

Corollary

Let C_n denote a combinational circuit that implements OR_n . Let 2 denote the maximum fan-in of a gate in C_n . Then

$$t_{pd}(C_n) \geq \lceil \log_2 n \rceil.$$

$$t_{pd}(H) \geq \max_v \log_2 |\text{cone}(f_v)|$$

proof: fix v . let T_v denote tree rooted at v with $\text{Leaves}(T_v) = \text{cone}_{DG(H)}(v)$

$$\begin{aligned} \text{depth}(T_v) &\geq \log_2 |\text{Leaves}(T_v)| \\ &= \log_2 |\text{cone}_{DG(H)}(v)| \\ &\geq \log_2 |\text{cone}(f_v)| \end{aligned}$$

$$t_{pd}(H) \geq \text{depth}(T_v).$$



What is the effect of increasing the fan-in on the delay?

Theorem (Logarithmic Delay Lower Bound Theorem)

Let $H = (V, E, \pi)$ denote a combinational circuit. If the fan-in of every gate in H is at most k , then

$$t_{pd}(H) \geq \max_{v \in V} \log_k |\text{cone}(f_v)|.$$

Corollary

Let C_n denote a combinational circuit that implements OR_n . Let k denote the maximum fan-in of a gate in C_n . Then

$$t_{pd}(C_n) \geq \lceil \log_k n \rceil.$$

exercise

- Focus on combinational circuits that have a topology of a tree with identical gates.
- Trees are especially suited for computing associative Boolean functions.
- Defined an $\text{OR-tree}(n)$ to be a combinational circuit that implements OR_n using a topology of a tree.
- Proved that $\text{OR-tree}(n)$ are asymptotically optimal (cost).
- Balance conditions to obtain good delay.
- General lower bounds based on $\text{cone}(f)$.
 - # gates in a combinational circuit implementing a Boolean function f must be at least $|\text{cone}(f)| - 1$.
 - the propagation delay of a combinational circuit implementing a Boolean function f is at least $\log_2 |\text{cone}(f)|$.